I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450

Alexandria, VA 22313 on

INFORMATION DISCLOSURE

STATEMENT

Examining Group 1645 Patent Application Docket No. UF-325XC1

Serial No. 10/602,475

Doran R. Pace, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

1645

Applicants

Harry J. Klee, Coralie Lashbrook, Lori Shrode

Serial No.

10/602,475

Filed

June 23, 2003

For

Materials and Methods for Tissue-Specific Targeting of Ethylene

Insensitivity in Transgenic Plants

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 881.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed.

It is respectfully requested that the references cited on the attached form PTO/SB/08 be considered in the examination of the subject application and that their consideration be made of record.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

Doran R. Pace Patent Attorney Registration No. 38,261

Phone No.: 352-375-8100

Fax No: Address: 352-372-5800

2421 N.W. 41st Street, Suite A-1

Gainesville, FL 32606-6669

DRP/dkt

Attachments: Form PTO/SB/08; copies of references cited therein.

\\Edison-gv11\Home\SherryL\doc\pto\IDS\UF-325XC1.ltr.PTO.doc

O 1 P & 1 0 2004

PTO/SB/08A (08-03)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

OMB

OMB

control number Complete if Known Substitute for form 1449A/PTO Application Number 10/602,475 INFORMATION DISCLOSURE Filing Date 6/23/2003 STATEMENT BY APPLICANT First Named Inventor Harry J. Klee (use as many sheets as necessary) **Art Unit** 1645 **Examiner Name** unknown of Attorney Docket Number Sheet UF-325XC1

			U.S. PATENT DO	DCUMENTS	
Examiner Initials*	Cite No. 1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevent Passages or Relevant Figures Appear
	U1	US-6,294,716	09/25/2001	Meyerowitz et al.	All
•	U2	US-5,824,868	10/20/1998	Meyerowitz et al.	All
	U3	US-5,689,055	11/18/1997	Meyerowitz et al.	All
	U4	US-5,399,680	03/21/1995	Zhu et al.	All
	U5	US-20010019995	10/06/2001	Sisler	All
	U6	US-			
	· U7	US-			
	U8	US-			
	U9	US-			

		FOREIGN	PATENT DOCL	JMENTS		
		Foreign Patent Document	0.40	Name of Batantan an	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code 3 - Number 4 - Kind Code 6 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T*
	F1					
	F2					匚
	F3					
	F4					Ш
	F5					Ц
	F6		Ŷ.			
	F7					L

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Serial Codes of USPTO Plant Discontinuation of Commission of Codes of Codes

Transation is attached.

This collection of This co

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

FEB 1 0

Sheet

. . . .

Attorney Docket Number · UF-325XC1

Approved its row monagement of the Committee of the Commi

	Complete it Known		
Substitute for form 1449B/PTO	Application Number	10/602,475	
INFORMATION DISCLOSURE	Filing Date	6/23/2003	_
STATEMENT BY APPLICANT	First Named Inventor	Harry J. Klee	
(use as many sheets as necessary)	Group Art Unit	1645	
(use as many sneeds as necessary)	Examiner Name	unknown	

2

of

		NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7
	R1	ALONSO, J., et al., "EIN2, a Bifunctional Transducer of Ethylene and Stress Responses in Arabidopsis," Science (1999), pages 2148-2152, Volume 284.	
	R2	CHANG, C., et al., "Arabidopsis Ethylene-Response Gene ETR1: Similarity of Product to Two-Component Regulators," Science (1993), pages 539-544, Volume 262.	Γ
	R3	CHAO, O., et al., "Activation of the Ethylene Gas Response Pathway in Arabidopsis by the Nuclear Protein ETHYLENE-INSENSITIVE3 and Related Proteins," Cell (1997), pages 1133-1144, Volume 89; Cell Press.	Γ
	R4	HUA, J., et al., "EIN4 and ERS2 Are Members of the Putative Ethylene Receptor Gene Family in Arabidopsis," The Plant Cell (1998), pages 1321-1332, Volume 10; American Society of Plant Physiologists.	Γ
	R5	HUA, J. et al., "Ethylene Responses Are Negatively Regulated by a Receptor Gene Family in Arabidopsis thaliana," Cell (1998), pages 261-271, Volume 94.	T
	R6	HUA, J., et al., "Ethylene Insensitivity Conferred by Arabidopsis ERS Gene," Science (1995), pages 1712-1714, Volume 269.	l
	R7	KOHLER, S., et al., "The gene promoter for a bean abscission cellulose is ethylene-induced in transgenic tomato and shows high sequence conservation with a soybean abscission cellulose," Plant Molecular Biology (1996), pages 595-66, Volume 31; Kluwer Academic Publishers, Belgium.	Ī
	R8	MCCORMICK, S., et al., "Leaf disc transformation of cultivated tomato (L. esculentum) using Agrobacterium tumefaciens," Plant Cell Reports (1986), pages 81-4, Volume 5; Springer-Verlag.	Ī
	R9	SAKAI, H., et al., "ETR2 is an ETR1-like gene involved in ethylene signaling in Arabidopsis," Proc. Natl. Acad. Sci. USA (1998), pages 5812-5817, Volume 95.	Γ
	R10	TIEMAN, D., et al., "Members of the tomato LeEIL (EIN3-like) gene family are functionally redundant and regulate ethylene responses throughout plant development," The Plant Journal (2001), pages 47-58, Volume 26, No. 1; Blackwell Science Ltd.	Ī
,	R11	YANG, T-T., et al., "Optimized codon usage and chromophore mutations provide enhanced sensitivity with the green fluorescent protein," Nuc. Acids. Res. (1996), pages 4592-4594, Volume 24, No. 22.	Ī
	R12		Ī
	R1Z		†

Examiner	Date
Signature	Considered
*EXAMINER: Initial it	reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

\*EXAMINER: Initial if reference considered, whether or not clation is in conformance with MPEP 609. Draw line through classon if not in conforman and not considered, include copy of this form with next communication to applicant.

\*Applicant's unique citation designation number (optional). \*Applicant is to place a check mark here if English language Translation which is to file (and by the USPTO this collection of information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 33 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including applicating, proparing, and submitting the completed application form to the USPTO. This will vary depending up the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burdon, should be sent to the Cited Translation (Time, C.D. Dax 140), Abstracting, V.A. 2231-1450, D. ON TSEND FEES OR COMPLETED FORMS OT INS ADDRESS. SEMD TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.